

BIDDER/PROPOSER: \_\_\_\_\_

### EXHIBIT 9 - ETHICS DECLARATION

- A. The following questions in Section D below are designed to ensure contractors, subcontractors and LACMTA, including its employees and Board of Directors, are able to comply with their obligations to avoid conflicts of interest issues. Your company, including all subsidiaries, affiliates, and “related business entities”, as that term is defined in California Code of Regulations 18438.5(b)(2), (collectively, “Declarant Company”) should make or cause to be made a reasonably diligent investigation prior to responding to the questions in Section D to ensure the responses are correct and a person legally authorized to act for or on behalf of the Declarant Company (“Authorized Representative”) signs below where indicated.

The Authorized Representative is responding on behalf of Declarant Company that Declarant Company has been designated to perform the work requested in the solicitation.

An affirmative response to any of the questions in Section D will not automatically cause Declarant Company to be disqualified. However, failure to answer the questions in good faith or providing material false answers may subject Declarant Company to consequences up to and including disqualification of its Bid/Proposal.

For questions related to this Ethics Declaration, please contact the Contract Administrator assigned to this procurement.

- B. State the name(s) of your company, and all parent, subsidiaries, affiliates, and “related business entities”, comprising the Declarant Company. If none, enter “none” under each category below:

Name of parent:

\_\_\_\_\_

Name of subsidiaries (use additional sheet if necessary):

\_\_\_\_\_

Name of affiliates (use additional sheet if necessary):

\_\_\_\_\_

Name of “related business entities” (use additional sheet if necessary):

\_\_\_\_\_

- C. For purposes of this Ethics Declaration, the term “Employee(s)” shall be defined as employees, officers, shareholders, partners, owners, or directors of Declarant Company.
- D. Please answer the following questions:

Questions	Yes/No
1. In the past 12 months, has any Employee been an LACMTA Board member or LACMTA employee?	
2. Is any Employee related to an LACMTA Board member or LACMTA employee?	

Questions	Yes/No
3. Is any Employee presently an LACMTA Board member or LACMTA employee?	
4. Do any LACMTA Board members or LACMTA employees own any stock in Declarant Company?	
5. In the past 12 months, has any Employee given any gifts to an LACMTA Board member or LACMTA employee?	
6. In the past 4 years, has any Employee or family member of any Employee, made any campaign contributions to any present LACMTA Board member or LACMTA employee?	
7. Does Declarant Company now employ as a lobbyist, or intend to employ as a lobbyist, any former LACMTA Board Member or any person employed by LACMTA in the past 12 months?	
8. Did any Employee receive, or have access to, any confidential information concerning this Contract?	
9. Did any Employee perform work within the last 3 years relating to the Project or the Services contemplated to be performed under this Contract, including (a) the development of the Statement of Work/Statement of Services or any specifications, or (b) any involvement with earlier phases of the Project or Services to be provided under this Contract?	
10. If you answered "yes" to any question 1 through 9 above, provide, on a separate sheet, a detailed explanation of the facts and circumstances that give rise to the "yes" answer. This explanation shall contain all relevant facts and information. This explanation shall, include names, dates, facts, amounts, and other and anything else necessary for a thorough response. Each explanation shall identify which of the 9 questions it is responding to and a separate explanation for each "yes" response is required.	No. of Pages Attached

E. By signing this Ethics Declaration, Declarant Company attests that: (1) It has read, understands, and shall abide by LACMTA's Code of Conduct for Contractors at all times during its relationship with LACMTA, (2) Declarant Company's consultants and subcontractors retained by Declarant Company (if any) to perform any work/services under the Contract have or will promptly upon Declarant Company's hiring of those persons, read and abide by LACMTA Code of Conduct for Contractors, (3) Declarant Company has read and will continually remain in compliance with LACMTA's Lobby Ordinance.

F. DECLARATION:

I, \_\_\_\_\_ (name of Authorized Representative), on behalf of \_\_\_\_\_ (name of Bidder/Proposer/Declarant Company), at which I am employed as \_\_\_\_\_ (your title), declare that after having made or caused to be made a reasonably diligent investigation regarding the Declarant Company, the foregoing responses, and the explanation on the attached sheet(s), if any, in response to question 10, are correct to the best of my knowledge and belief. Further, I understand that failure to answer the questions in good faith or providing material false answers may subject Declarant Company to consequences up to and including disqualification of its Bid/Proposal.

Signature

Date